

13281 USPTO
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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	500.34521CC3
	First Inventor	OWASHI, HITOAKI
	Title	METHOD AND APPARATUS FOR RECEIVING A DIGITAL SIGNAL AND APPARATUS FOR RECORDING AND REPRODUCING THE DIGITAL SIGNAL
	Express Mail Label No.	

APPLICATION ELEMENTS SEE MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages: **51**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets: **13**]
5. Oath or Declaration [Total Sheets: **2**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *if applicable, all necessary*
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/>	Assignment Papers (cover sheet & documents(s))
10. <input type="checkbox"/>	37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
11. <input type="checkbox"/>	English Translation Document <i>(if applicable)</i>
12. <input checked="" type="checkbox"/>	Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449
13. <input type="checkbox"/>	Preliminary Amendment
14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
16. <input type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. <input checked="" type="checkbox"/>	Other: Letter Claiming Right of Priority & Credit Card Payment Form

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: **09/893,983**

Prior application information: Examiner: _____ Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when the portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number **020457** OR Correspondence address below

Name	ANTONELLI, TERRY, STOUT & KRAUS, LLP		
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	Registration No. (Attorney/Agent)		
Signature	Date		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Complete if Known

Application Number	Not yet assigned
Filing Date	February 23, 2004
First Named Inventor	OWASHI, HITOAKI
Examiner Name	
Art Unit	
Attorney Docket No.	500.34521CC3

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**770**

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Other None
Order

Deposit Account:
Deposit Account Number: 01-2135
Deposit Account Name: Antonelli, Terry, Stout & Kraus, LLP

The Director is authorized to: (check all that apply)
 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES									
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	
1051	2051	130	130	2051	65	Surcharge - late filing fee or oath			
1052	2052	50	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
1053	1053	130	130	1053	130	Non-English specification			
1812	1812	2,520	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination			
1804	1804	920*	920*	1804	920*	Requesting publication of SIR prior to Examination action			
1805	1805	1,840*	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
1251	2251	110	110	2251	55	Extension for reply within first month			
1252	2252	420	420	2252	210	Extension for reply within second month			
1253	2253	950	950	2253	475	Extension for reply within third month			
1254	2254	1,480	1,480	2254	740	Extension for reply within fourth month			
1255	2255	2,010	2,010	2255	1,005	Extension for reply within fifth month			
1401	2401	330	330	2401	165	Notice of Appeal			
1402	2402	330	330	2402	165	Filing a brief in support of an appeal			
1403	2403	290	290	2403	145	Request for oral hearing			
1451	1451	1,510	1,510	1451	1,510	Petition to institute a public use proceeding			
1452	2452	110	110	2452	55	Petition to revive - unavoidable			
1453	2453	1,330	1,330	2453	665	Petition to revive - unintentional			
1501	2501	1,330	1,330	2501	665	Utility issue fee (or reissue)			
1502	2502	480	480	2502	240	Design issue fee			
1503	2503	640	640	2503	320	Plant issue fee			
1406	1460	130	130	1460	130	Petitions to the Commissioner			
1807	1807	50	50	1807	50	Processing fee under 37 CFR 1.17(q)			
1806	1806	180	180	1806	180	Submission of Information Disclosure Stmt			
8021	8021	40	40	8021	40	Recording each patent assignment per property (times number of properties)			
1809	2809	770	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))			
1810	2810	770	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))			
1801	2801	770	770	2801	385	Request for Continued Examination (RCE)			
1802	1802	900	900	1802	900	Request for expedited examination of a design application			
Other fee (specify) _____									
*Reduced by Basic Filing Fee Paid								SUBTOTAL (3) (\$)	

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	770
1002	2002	340	170	Design filing fee	
1003	2003	530	265	Plant filing fee	
1004	2004	770	385	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
SUBTOTAL (1)					770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	1	-20** = x 18	=
Indep. Claims	1	-3** = x 86	=
Multiple Dependent			=

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	2202	18	9	Claims in excess of 20
1201	2201	86	43	Independent claims in excess of 3
1203	2203	290	145	Multiple dependent claim, if not paid
1204	2204	86	43	** Reissue independent claims over original patent
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$ <input type="text"/>				

**or number previously paid, if greater; For Reissues, see above.

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Carl I. Brundidge	Registration No. (Attorney/Agent)	29,621	Telephone	703-312-6800
Signature		Date	02/23/2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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